Organization and Structure of a Peritoneal Dialysis Program:

*an important ingredient for success*

Fredric O. Finkelstein
Hospital of St. Raphael, Yale University
New Haven, CT
Overview of Presentation

• Review of current status of PD in North America
• Review of recent changes in our understanding of the role of PD in managing patients with ESRD
• Review of the structural requirements for a successful PD program
USRDS 2010: PREVALENT ESRD PATIENTS

- Hemodialysis (2008: 354,600)
- Peritoneal dialysis (26,517)
- Transplant (165,639)
- OPTN transplant wait-list (77,695)
USRDS 2010: INCIDENT ESRD PATIENTS

- Hemodialysis (2008: 102,876)
- Peritoneal dialysis (6,577)
- Total dialysis (109,832)
- Transplant (2,644)
Changes in Our Understanding of the Role of PD as Treatment for ESRD

• A renewed interest in PD as bundled payment model of reimbursement approaches
• Reduced mortality with PD vs HD for the initial year(s) of therapy
• Recent improvement in 1 year PD outcomes with strategies being developed to further improve the outcomes of PD patients
• The problem of the change in relative mortality rates of PD vs HD with time and problems with encapsulating peritoneal sclerosis
Decline in Mortality Over Time: Striking Decline in Mortality of PD Patients in Recent Years
USRDS 2010
Unadjusted survival in 2007 dialysis patients, using propensity-matched modality data, by race & diabetic status: USRDS 2010
Relative Patient and Technique Survival, Intent-to-Treat Model USRDS Database
42,803 CAPD and 23,345 APD patients
(Mehrotra, KI 76:97, 2009)
ITT Adjusted Hazard Ratios by Cohort Period

**Cohort Period = 1991 – 1995**

**Cohort Period = 1996 – 2000**

**Cohort Period = 2001 – 2004**
The Low Level of PD Utilization is Puzzling:

additional reasons

- International comparisons
- National variations
- Nephrologists perceptions of what the patient distribution should be
- Patient perceptions of what they want
International Comparisons: % of patients on peritoneal dialysis

USRDS: 2010
December 31 point prevalent patients, 2005. Excludes patients on other forms of peritoneal dialysis, those whose type of dialysis is unknown, & those residing in Puerto Rico & the Territories. Home therapy: home hemodialysis, CAPD, & CCPD combined.
THE % OF ESRD PATIENTS THAT SHOULD BE ON CPD: THE NEPHROLOGISTS VIEW
Nephrology Staff Replying CAPD/APD to the Question: *What do you consider to be the best dialysis initial treatment for a 65 year old patient with 1 comorbidity*

Lebedo and Ronco: NDT Plus, 2008
The Problem of low PD Utilization, at least in part, Relates to Problems with the Structure and Organization of PD Facilities

Let’s Review the Key Issues
Structural Requirements For A Successful CPD Program (Finkelstein: Kidney Int Suppl. 2006 103:S118-21)

• Development of robust and effective CKD education programs
Patients Leaving PD Program at 1 and 2 Years: Data from Network 1 (New England) Afolalu et al PDI 2009 29:292-6

26% of patients leave the PD program each year.

Mortality:
- Year 1: 13.9% (<25 pts) and 14.8% (>25 pts)
- Year 2: 14.9% (<25 pts) and 16.2% (>25 pts)

Transfer to HD:
- Year 1: 15.6% (<25 pts) and 11.9% (>25 pts)
- Year 2: 14.4% (<25 pts) and 11.1% (>25 pts)
PATIENT PREFERENCE IN DIALYSIS SELECTION: NECOSAD 1997-2001
(Jager: AJKD 43:891,2004)

1347 patients

864 able to make choice

416 start PD

445 start HD

483 had contraind to PD or HD

386 start HD

97 start PD

38% of patients start PD
CKD Education and Modality Selection: Belgium: 40% of patients Start Home Dialysis

( Goovaerts: NDT 20:1842,2005)

40% of patients start home therapy
31% start PD

242 pts start dialysis

177 receive education

57 directed to HD

8 preemptive transplant

75 (40%) in center HD

55 (31%) start PD

47 (25%) self-care or home HD

17 (9%) home

30 (16%) self care
PATIENT MODALITY SELECTION: THE IMPACT OF CKD EDUCATION
(New Haven CAPD-2002-2006: 50% of New Dialysis starts Receive CKD education)
There are Major Deficiencies in Providing CKD Education

- Lack of knowledge of CKD patients that they even have CKD
- Lack of knowledge about CKD
- Lack of knowledge about modality selection
Factors Impacting on Awareness: race (AA, Hisp more aware), sex (males more aware), diabetes (diab more aware), BP (incr BP more aware), obesity (obese pts more aware)

Factors Not Impacting Awareness: education, age, health insurance
CKD PATIENT KNOWLEDGE:
The CRIOS Study: 7 Sites in Canada and U.S.
(Finkelstein et al: Kidney Int, 74:1178-84, 2008)

- CKD patients (25% stage 3, 56% stage 4, 19% stage 5) were asked to complete a self administered questionnaire of 30 questions to determine knowledge of CKD and renal replacement therapies.
- 708 patients completed the questionnaire and had clinical and laboratory data available to analyze.
- The median age was 65.8 years, 73.4% were Caucasian, 45.3% were diabetic.
- Patients had been seeing their Nephrologist for a mean of 5.2 years and 65% were seen by a Nephrologist for > 1 year.
### Percent of CKD Patients With No Knowledge of Various ESRD Therapies

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>No knowledge of HD</td>
<td>43%</td>
</tr>
<tr>
<td>No knowledge of CAPD</td>
<td>57%</td>
</tr>
<tr>
<td>No knowledge of APD</td>
<td>66%</td>
</tr>
<tr>
<td>No knowledge of transplantation</td>
<td>56%</td>
</tr>
<tr>
<td>No knowledge of Any modality</td>
<td>35%</td>
</tr>
<tr>
<td># of Visits in Preceding Year</td>
<td>0-1</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>-------</td>
</tr>
<tr>
<td>Knowledge of HD</td>
<td>40.4%</td>
</tr>
<tr>
<td>Knowledge of CAPD</td>
<td>25.2%</td>
</tr>
<tr>
<td>Knowledge of Transplant</td>
<td>45.2%</td>
</tr>
</tbody>
</table>
Realities in the Current World of CKD Education

- Over 1/3 of incident ESRD patients, when questioned, report not knowing they had kidney disease
- The majority of CKD patients report not knowing they have kidney disease
- CKD patients seeing nephrologists know surprisingly little about CKD or ESRD treatment options
- 80% of incident HD patients start dialysis with a catheter
- Most dialysis patients do not understand the key factors impacting on outcomes
CKD Education: CMS Guidelines for Stage IV CKD Patients

- Educator services “are designed to provide beneficiaries with comprehensive information regarding management of co-morbidities, including for purpose of delaying the need for dialysis; prevention of uremic complications; and each option for renal replacement therapy (advantages and disadvantages).”
- “… the beneficiary .. (should) actively participate in his/her choice of therapy.”
- “(Educators) will develop outcome assessments ... To measure beneficiary knowledge about CKD and its treatment.”
- Individual or group sessions funded but no more than 6 sessions in a patient’s lifetime
Educational Interventions in ESRD and CKD Patients (Mason et al: AJKD 51:933, 2008)

• Comprehensive search for randomized trials of structured educational interventions in CKD and ESRD patients
• 22 studies identified
• The majority involved diet and/or fluid status in dialysis patients: nothing more
• Only one study involved long term study of CKD patients not on dialysis (Devins et al, AJKD 2003, 2005)
Purpose of Patient CKD Education: what are we trying to accomplish?

• Improve outcomes of CKD:
  a) slow progression
  b) reduce CV risk
• Improve outcomes after the start of dialysis
• Provide information about modality selection concerning ESRD
Communication Breaks Down

• Patients do not hear or understand what the physician is saying
• The physician does not hear what the patient is saying
The Problem With CKD Education Is Not Just That It Is Not Offered BUT That Is Not Done Effectively

• Traditional response to problems with patients about communication and change: give information and knowledge and expect patients to learn

• Current challenge: Revamp CKD education programs, focusing on change – addressing the issues of how individuals change their behavior or cope with changes in their living situation
PHYSICIAN (Nephrologist) BARRIERS TO REFERRAL TO CKD EDUCATION PROGRAM
(New Haven Experience)

• Concern about patient fear and denial –
  -- refusal to go
  -- referred and did not make contact
• Late referral by primary care physician
• Physicians perception that referrals were not necessary
Patient Barriers to Education

• What do patients hear when ESRD care is discussed?
• It is easy to speculate
• But, to date, no studies have critically examined this question
Newer Approaches

• Recognition that modifying or changing behavior is a challenge
• Learn techniques that enhance an individual’s motivation to change.
• Apply techniques from motivational interviewing and cognitive behavioral therapy (logical and decision making analysis)

Empowering the Patient: The techniques enhance the individual’s ability to better understand their decision making and problem solving
Structural Requirements For A Successful CPD Program

• Development of robust and effective CKD education programs
• Development of appropriate support systems
  -- nursing
  -- social work
  -- dietary
NURSE’S ROLE: CRITICAL FOR SUCCESS

- Adequate nursing support to handle basic problems
- Adequate expertise in managing PD related problems
- Nursing coordination of various aspects of care, such as peritonitis, catheter and exit site care, anemia management, etc
- *Adequate nursing staff to take night and weekend call*

- Bernadini et al: (PDI 26:658, 2006) North/South America, Europe, South Africa, HK) reports on various aspects of nursing care, including site of training, training time for patients, timing of training, etc

Well trained, competent, dedicated, independent nurses are the key to a successful PD program
SOCIAL WORK ROLE:  
*An Integral Role in the Program*  
(Wuerth: Seminars in Dialysis, 2006)

- Assess family functioning
- Assess caregiver burden
- Assess patient’s ability to cope with demands of home therapy
- Provide patient/family support and assess for possible areas of psychosocial intervention, e.g. clinical depression
- Function as a liaison between the medical care team and the patient and family system

Must play an integral role in the program and this involves addressing the psychosocial needs of patients
RISK OF PERITONITIS IN CPD PATIENTS
(based on 281 BDI scores – peritonitis episodes in 6 months after each BDI) (Wuerth et al AJKD 42:350, 2003)

<table>
<thead>
<tr>
<th>Variable</th>
<th>Relative risk*</th>
<th>95% confidence intervals</th>
</tr>
</thead>
<tbody>
<tr>
<td>BDI ≥11</td>
<td>2.7</td>
<td>1.23-6.03</td>
</tr>
<tr>
<td>Age ≥65</td>
<td>0.8</td>
<td>0.29-1.88</td>
</tr>
<tr>
<td>Diabetes</td>
<td>1.0</td>
<td>0.46-2.13</td>
</tr>
<tr>
<td>CAD</td>
<td>0.6</td>
<td>0.39- 1.23</td>
</tr>
</tbody>
</table>

* Multivariate analysis
DIETICIAN’S ROLE

• Dietary instruction
• Critical role of sodium restriction in maintaining cardiovascular health
• Tracks and advises patients concerning:
  a) albumin levels/protein intake
  b) phosphate levels
  c) potassium levels
  d) weight gain or loss
Structural Requirements For A Successful CPD Program (Finkelstein: Kidney Int Suppl. 2006 103:S118-21)

- Development of robust and effective CKD education programs
- Development of appropriate support systems
  -- nursing
  -- social work
  -- dietary
- Development of appropriate CQI programs to monitor a variety of domains (discussed in PD K/DOQI guidelines, 2006, AJKD, 2006)
DEVELOPMENT OF EFFECTIVE CQI PROGRAM (K/DOQI PD Guidelines, AJKD, 2006)

- Morbidity and mortality
- Peritonitis rates
- Exit site infection rates
- Catheter problems
- Quality of life of patients and their family
- Patient satisfaction with care
- Other domains
Structural Requirements For A Successful CPD Program (Finkelstein: Kidney Int Suppl. 2006 103:S118-21)

- Development of robust and effective CKD education programs
- Development of appropriate support systems
  -- nursing
  -- social work
  -- dietary
- Development of appropriate CQI programs to monitor a variety of domains (discussed in PD K/DOQI guidelines, 2006, AJKD, 2006)
- Appropriate size of PD program
### NUMBER OF PD PATIENTS IN A TYPICAL U.S. DIALYSIS CENTER

<table>
<thead>
<tr>
<th>Total # Pts</th>
<th># of Units</th>
<th>% of total</th>
<th># Pts</th>
<th>% of total</th>
</tr>
</thead>
<tbody>
<tr>
<td>In Unit</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;50 pts</td>
<td>59</td>
<td>4.4%</td>
<td>4,158</td>
<td>19.6%</td>
</tr>
<tr>
<td>21 to 50 pts</td>
<td>290</td>
<td>21.6%</td>
<td>9,323</td>
<td>44.1%</td>
</tr>
<tr>
<td>11 to 20 pts</td>
<td>370</td>
<td>22.8%</td>
<td>4,588</td>
<td>21.7%</td>
</tr>
<tr>
<td>6 to 10 pts</td>
<td>249</td>
<td>18.5%</td>
<td>1,938</td>
<td>9.2%</td>
</tr>
<tr>
<td>1 to 5 pts</td>
<td>439</td>
<td>32.7%</td>
<td>1,156</td>
<td>5.5%</td>
</tr>
<tr>
<td></td>
<td>1,407 units</td>
<td>36% of pts in units with &lt;20 pts</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

with at least 1 PD patient
Adjusted relative risk of death by *cumulative* number of PD patients treated in an individual facility

Schaubel KI 2000 60:1517-1524
Center Size and Technique Failure (Dutch Registry)

Huisman  Nephrol Dial Transplant 2002; 17: 1655–1660

Low: <20 pts, n=1012          Medium 20-32 pts n=1209,  
High >32 patients, n=1142
Technique Failure at 1 and 2 Years in Network 1 (New England) By Center Size (≥ or < 25 patients) (Afolalu et al: PDI 29:292, 2009)

30% increase in technique failure in centers with < 25 patients

OR = 1.36, P = 0.005
OR = 1.35, P = 0.03

30% increase in technique failure in centers with < 25 patients
Peritonitis Rates in Dialysis Units The CHOICE Study


Results are the same when corrected for age, sex, race, comorbidity, BMI, diabetic status
Structural Requirements For A Successful CPD Program

- Development of robust and effective CKD education programs
- Development of appropriate support systems
  -- nursing
  -- social work
  -- dietary
- Development of appropriate CQI programs to monitor a variety of domains (discussed in PD K/DOQI guidelines, 2006, AJKD, 2006)
- Appropriate size of PD program
- Physician training
Health Care Provider Education

- Expand training options for physicians and nurses: *the poor training of nephrology trainees in PD therapy is now well documented*
- Dispel myths and focus on positive aspects of PD
  a) increased mortality: (already discussed)
  b) satisfaction with therapy
  c) problems with PD for the elderly ??
<table>
<thead>
<tr>
<th>% exc ratings: PD</th>
<th>Quality of dialysis care</th>
<th>How much could be better*</th>
<th>Would you recommend your center</th>
</tr>
</thead>
<tbody>
<tr>
<td>85%</td>
<td>60%</td>
<td>91%</td>
<td></td>
</tr>
<tr>
<td>% exc ratings: HD</td>
<td>56%</td>
<td>39%</td>
<td>75%</td>
</tr>
<tr>
<td>Adj prob PD/HD</td>
<td>1.46</td>
<td>1.70</td>
<td>1.20</td>
</tr>
</tbody>
</table>

% of best possible response
On multivariate analysis, the only significant difference was attributable to modality.
DOMAINS WITH SIGNIFICANT DIFFERENCES (Juergensen et al: CJASN 2006 1:1191-6)

PD PATIENTS SCORE HIGHER ON 16 OF 17 DOMAINS
Structural Requirements For A Successful CPD Program: Summary

• Development of robust and effective CKD education programs
• Development of appropriate support systems
  -- nursing
  -- social work
  -- dietary
• Development of appropriate CQI programs to monitor a variety of domains (discussed in PD K/DOQI guidelines, 2006, AJKD, 2006)
• Appropriate size of PD program
• Physician training
Question #1

• Nephrologists think that what % of ESRD patients should be on PD?
  – A. 10%
  – B. 30-40%
  – C. 60%
  – D. 80%
  – E. None of the above
Question #1 – Answer: B
Question #2

• If patients are have seen nephrologists 4x or more if the last year, what % report knowing about CAPD?
  – A. 10%
  – B. 25%
  – C. 50%
  – D. 75%
  – E. 90%
Question #2 – Answer: C
Question #3

• Which are of the following are associated with an increased frequency of peritonitis in PD patients?
  – A. Beck Depression Inventory scores of 11 or greater
  – B. Presence of CAD
  – C. Presence of diabetes
  – D. Age of 65 or greater
  – E. All of the above
Question #3 – Answer: A